

**STATE OF ILLINOIS**  
**INTERNAL AUDIT ADVISORY BOARD**

Web Address: [HTTP://SIAAB.AUDITS.UILLINOIS.EDU](http://SIAAB.AUDITS.UILLINOIS.EDU)

**MINUTES**

**SIAAB Fall Government Auditing Conference**  
**October 29, 2014 and October 30, 2014**

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**ROLL CALL**

**Members Present/Location:**

Marcus Dodd (Chair), Illinois Department of Employment Security - Springfield  
Gary Shadid (Vice Chair), Illinois Department of Commerce and Economic Opportunity - Springfield  
H. Jay Wagner, Office of the Attorney General - Springfield  
Barb Ringler, Office of the Treasurer – Springfield  
Stell Mallios, Office of Secretary of State – Springfield (arrived during the afternoon of 10/29/2014)  
Tracy Allen, Office of the Comptroller – Springfield  
Debbie Abbott, Central Management Services – Springfield  
Stephen Kirk, Department of Transportation – Springfield  
Jane Hewitt, Department of Human Services – Springfield  
Julie Zemaitis, University of Illinois – Springfield

**MINUTES**

The SIAAB Fall Government Auditing Conference was held on October 29 and 30, 2014, at the Northfield Center, 3280 Northfield Drive, Springfield, IL. The Conference was scheduled, in accordance with the Fiscal Control and Internal Auditing Act requirements, to provide internal audit training only and accordingly, there were no Board actions taken, nor were there any motions taken or voted upon during the training event.

**OCTOBER 29, 2014**

There were 152 attendees present.

Registration for the Conference began at 7:45am. Opening remarks were made at 8:30am by Mr. Marcus Dodd, SIAAB Chair.

The first presentation was made by Rochelle Joseph. The presentation focused on the State Records Commission.

The second presentation was made by Julie Zemaitis, U of I Chief Internal Auditor. The presentation focused on the SIAAB Quality Assurance Process.

The third presentation was made by Bill Harmening, Secretary of State – Securities Investigator. The presentation focused on Fraud Identification and Investigation.

The fourth presentation was made by Jeff Thompson, CMS – BCCS. The presentation focused on Cyber Security.

The first day of the two-day Conference adjourned at 4:30 pm.

### **OCTOBER 30, 2014**

There were 151 attendees present.

Registration for the Conference began at 7:45am. Opening remarks were made at 8:30 am by Mr. Marcus Dodd, SIAAB Chair.

The first presentation was made by Chris Hill, Distributed Systems Manager, IDOT. The presentation focused on Using SharePoint to Increase Efficiency.

The second presentation was made by Chad Fornoff, Executive Ethics Commission. The presentation focused on Procurement Changes and Challenges.

Concurrent with the second presentation, Jeremy Mehochko facilitated the Information Technology Roundtable Discussion.

The third presentation was made by Sarah Pratt, Public Access Counselor. The presentation focused on Freedom of Information and Open Meetings Act Compliance Update.

Concurrent with the third presentation, Steve Kirk facilitated the Chief Internal Auditors' Roundtable Discussion.

The fourth presentation was made by Jeff Shuck, CMS Personnel Deputy Counsel. The presentation focused on Rutan Interview Compliance.

Concurrent with the fourth presentation, Julie Zemaitis facilitated the University Auditors' Roundtable Discussion.

The fifth presentation was made by Bob Grogan, Bill Crowley, and Katie Madonia. The presentation focused on the Financial Reporting Standards Board and GAAP Reporting Requirements.

The second day of the two-day Conference adjourned at 4:20pm.